

# Elliptic curves and root numbers

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*(joint work with Wan Lee)*

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- 1 Elliptic curves and the root numbers
- 2 Elliptic curves with complex multiplication
- 3 Lawful (CM) elliptic curves with  $K \not\subset F$

# Elliptic curves

Let  $F$  be a number field. Let  $E/F$  be an elliptic curve over  $F$ .

$$y^2 = x^3 + ax + b$$

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## Question

If  $E$  is an elliptic curve  $y^2 = x^3 + ax + b$ , find all points of  $E$  over  $F$ .

$$E(F) := \{(x, y) \in F \times F : y^2 = x^3 + ax + b\} \cup \{\infty\}.$$

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where

- $\text{rk}(E(F))$  is a non-negative integer called the *Mordell-Weil rank*,
- There is no known general algorithm to find  $\text{rk}(E(F))$ .



# L-functions and the functional equation

Let  $L(E/F, s)$  be the Hasse-Weil  $L$ -function for  $E/F$ . Put

$$\Lambda(E/F, s) := N(E/F)^{\frac{s}{2}} ((2\pi)^{-s} \Gamma(s))^{[F:\mathbb{Q}]} L(E/F, s).$$

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- 2 Assuming BSD conjecture :  $\text{rk}(E(F)) = \text{ord}_{s=1}(L(E/F, s))$ , the root number determines the parity of the rank.

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- 1 We can define the local root number  $W(E/F_v)$  for all places  $v$  of  $F$ .
- 2 If there exist an analytic continuation of  $L(E/F, s)$ , then

$$W(E/F) = \prod_v W(E/F_v).$$

# A list of formulas for the local root number

## Lemma (local root number formula)

$$W(E/F_v) = \begin{cases} +1, & \text{if } E/F_v \text{ has good reduction.} \\ -1, & \text{if } E/F_v \text{ has split multiplicative reduction.} \\ +1, & \text{if } E/F_v \text{ has non-split multiplicative reduction.} \\ -1, & \text{if } v \text{ is an Archimedean place.} \end{cases}$$

## Definition

Let  $N$  be a number field or a ( $p$ -adic) local field. We say  $E/N$  is *lawful* if  $W(E/M) = 1$  for all quadratic extensions  $M/N$ .

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- 1 It was first defined and studied by Dokchitser-Dokchitser (2009).
- 2 If  $N$  is a number field, then  $W(E/N)W(E^M/N) = W(E/M)$ .
- 3 Therefore if  $N$  is a number field, then  $E/N$  is lawful if and only if  $W(E^M/N)$  is a constant for all quadratic extensions  $M/N$ .

## Lemma

Suppose  $E$  is defined over a number field  $F$ . Then the following conditions are equivalent.

- 1  $E/F$  is lawful.
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Let  $\mathcal{C}(F)$  denote the set of quadratic characters of  $F$  and define  $\mathcal{C}(F_v)$  similarly. Let  $S$  be a finite set of places of  $F$  containing all primes of bad reduction and infinite places. The restriction map

$$\mathcal{C}(F) \rightarrow \prod_{v \in S} \mathcal{C}(F_v)$$

is surjective.



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# CM elliptic curves

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If  $K \subset F$ , then the rank of  $E(F)$  should be even because  $E(F) \otimes \mathbb{Q}$  is a  $K$ -vector space and  $[K : \mathbb{Q}] = 2$ .

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## Theorem

Suppose  $E/F$  has CM over  $K$  and  $K \subseteq F$ . Then there exists a Hecke character

$$\psi : \mathbb{A}_F^\times / F^\times \rightarrow \mathbb{C}^\times$$

satisfying the following properties.

- 1 If  $v$  is a finite prime of  $F$ , then  $\psi(\mathcal{O}_v^\times) = 1$  if and only if  $E$  has good reduction at  $v$ .
- 2 If  $x \in \mathbb{A}_F^\times$  is a finite idele (i.e.,  $x_\infty = 1$  for all infinite places  $\infty$ ) and  $\mathfrak{p}$  is a prime of  $K$ , then  $\psi(x) \in K = \text{End}(E) \otimes \mathbb{Q}$ ,  $\psi(x)(\mathbf{N}_{K^\times}^F)_\mathfrak{p}^{-1} \in \mathcal{O}_\mathfrak{p}^\times$  and for every  $P \in E[\mathfrak{p}^\infty]$ , we have

$$[x, F^{\text{ab}}/F]P = \psi(x)(\mathbf{N}_{K^\times}^F)_\mathfrak{p}^{-1}P,$$

where  $[\cdot, F^{\text{ab}}/F]$  denotes the Artin map.

# The root number of CM elliptic curve

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## Proof.

In the CM elliptic curve case, the *Weil-Deligne* representation for  $F_v$  given by the action on the *Tate module* is decomposed into the direct sum  $\psi_v \oplus \overline{\psi}_v$ . Then the  $\epsilon$ -factor computation is done by considering the 1-dimensional case, which is established by Tate in his thesis. What one can show is in fact:

$$W(E/F_v) = \psi_v(-1).$$

Then the result follows from the fact that  $\psi$  is a Hecke character on the idele class group. □

## Corollary

If  $E/F$  has CM over  $K$  and  $K \subset F$ , then  $E/F$  is lawful.

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## Assumptions

- 1  $E/F$  has CM over  $K$ .

# Lawful elliptic curves $E/L$ with $W(E/L) = 1$

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## Theorem (Lee-Y)

*There exist infinitely many quadratic extensions  $L/F$  such that  $E/L$  is lawful with  $W(E/L) = 1$ .*



# Lawful elliptic curves $E/L$ with $W(E/L) = 1$

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## More interesting lawful elliptic curves

Lawful elliptic curves with  $W(E/L) = -1$  are arithmetically more interesting.

## Theorem (Lee-Y)

*Suppose that there exists a rational prime  $p$  such that the following conditions are satisfied.*

- 1  $p \equiv 3 \pmod{4}$ .
- 2  $p$  is ramified at  $K/\mathbb{Q}$ .
- 3 *There exists a prime  $v$  of  $F$  such that  $e(v|p)$  and  $f(v|p)$  are both odd, where  $e(v|p)$  and  $f(v|p)$  denote the ramification index and the inertia degree of  $v$  above  $p$ , respectively.*

*Then there exist infinitely many quadratic extensions  $L/F$  such that  $E/L$  is lawful with  $W(E/L) = -1$ .*

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$$\mathcal{C}(F) \rightarrow \prod_{v \in S} \mathcal{C}(F_v)$$

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- 6 Letting  $w'$  be the prime of  $L$  above  $v$ , we can prove  $W(E/L_{w'}) = 1$ .



## Corollary

Suppose that  $K \neq \mathbb{Q}(\sqrt{-d})$  for  $d = 1, 2$  or  $3$ . If  $[F : \mathbb{Q}]$  is odd, then there exist infinitely many quadratic extensions  $L/F$  such that  $E/L$  is lawful with  $W(E/L) = -1$ .

# Examples

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## Examples

Let  $L = \mathbb{Q}(\sqrt{-m})$  for  $m > 0$ .

- 1  $y^2 + xy = x^3 - x^2 - 2x - 1$  with  $(\frac{m}{7}) = 1$ .
- 2  $y^2 + y = x^3 - x^2 - 7x + 10$  with  $(\frac{m}{11}) = 1$ .
- 3  $y^2 + y = x^3 - 38x + 90$  with  $(\frac{m}{19}) = 1$ .
- 4  $y^2 + y = x^3 - 860x + 9707$  with  $(\frac{m}{43}) = 1$ .

Thank you very much for your attention!